



MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 18th December, 2019

TIME: 3.00 pm

VENUE: Committee Room - Bootle Town Hall, Trinity Road, Bootle, L20 7AE

Member

Councillor Robinson (Chair)
Councillor Roche (Vice-Chair)
Councillor Brennan
Councillor Cluskey
Councillor McGinnity
Councillor O'Hanlon
Councillor Pugh
Councillor John Sayers
Councillor Shaw
Councillor Sir Ron Watson

Substitute

Councillor Bradshaw
Councillor Carr
Councillor Dowd
Councillor Marshall
Councillor Yvonne Sayers
Councillor Irving
Councillor Evans
Councillor Spencer
Councillor Lewis
Councillor Brough

COMMITTEE OFFICER: Ruth Appleby
 Democratic Services Officer

Telephone: 0151 934 2181

E-mail: ruth.appleby@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

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AGENDA

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer to determine whether the Member should withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting or remain in the meeting and vote on the relevant decision.

3. Minutes

(Pages 5 - 10)

Minutes of the meeting held on 18 September 2019

4. Submission of Overview and Scrutiny Working Group Final Reports to Council

(Pages 11 - 14)

Report of the Chief Legal and Democratic Officer

5. Treasury Management Position to October 2019

(Pages 15 - 24)

Report of the Head of Corporate Resources

6. Corporate Risk Management

(Pages 25 - 58)

Report of the Head of Corporate Resources

7. Risk and Audit Service Performance Report

(Pages 59 - 100)

Report of the Head of Corporate Resources

8. Exclusion of Press and Public

The following report is *not* Exempt/Confidential, but includes

appendices which contain exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A under Section 100A(4) of the Local Government Act 1972. Namely:

- Item 9 – Appendices 1, 2 and 3

Members are therefore requested to indicate whether or not they wish to discuss any matters referred to in the exempt appendices and accordingly, consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for any items of business which might involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.

9. Write Off of Irrecoverable Debts >£10,000

(Pages 101 -
114)

Report of the Head of Corporate Resources